# WYOMING SUPREME COURT

# ELECTRONIC FILING ADMINISTRATIVE POLICIES AND PROCEDURES MANUAL

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# 1. Introduction.

The Wyoming Supreme Court requires attorneys admitted to the Wyoming State Bar, and other registered users, to file with the Court electronically, as provided in this Manual. The following policies and procedures govern electronic filing in this Court, unless the Court determines in a particular case that filing shall be limited to conventional paper filing. The Wyoming Rules of Appellate Procedure (W.R.A.P.) apply to electronic filing, including the requirements of W.R.A.P. 1.01(c)(1) that the original paper document with an original signature and six copies shall be filed.

# 2. Definitions.

- (a) <u>C-Track Electronic Filing System</u>, or "<u>CTEF</u>", means the Internet based system for filing documents and maintaining court case files in the Wyoming Supreme Court.
- (b) <u>Conventional Filing</u> means filing paper documents according to W.R.A.P. as those rules currently exist.
- (c) <u>Document</u> means briefs, motions, notices, responses, affidavits, and any other written document included in the list of allowed docket entries for filing with the Court.
- (d) <u>Electronic Filing</u> means uploading a document directly from the registered user's computer using the CTEF system to file that document in the Court's case file. **Sending a document or pleading to the court via e-mail rather than through the CTEF does not constitute "electronic filing," and is not allowed.**
- (e) <u>Initiating Documents</u> means notices of appeal filed in the district courts, petitions for writ of review or other extraordinary relief, W.R.A.P. 11 certified questions, W.R.A.P. 12.09(b) orders of certification and reports and recommendations for discipline from the Board of Professional Responsibility or the Commission on Judicial Conduct and Ethics.
- (f) <u>Non-Electronic Filing</u> means conventionally submitting a document or material to the court in paper or other form. Non-electronic filing includes those materials not available in electronic form or which cannot be scanned.
- (g) <u>Notice of Electronic Filing</u> means the notices automatically generated by the CTEF system at the time a document is electronically accepted and/or filed by the clerk's office.
- (h) <u>.pdf</u> means Portable Document Format, a proprietary file format developed by Adobe Systems, Inc.
- (i) <u>Registered User</u> means an individual who has completed the training course and has registered a username and password in the CTEF system for electronic filing of documents. Currently, only active members of the Wyoming Bar may electronically file.

(j) <u>Technical Failure</u> means a malfunction of Court hardware, software, and/or telecommunications facility which results in the inability of a registered user to submit a document electronically. It does not include the failure of a user's equipment.

# 3. General Policies, Public Access.

- (a) Parties and the public may view non-confidential docket pages and most documents electronically through CTrack's Public Docket located at https://efiling.courts.state.wy.us/public/caseSearch.do. The public docket provides the case caption, the status of the case, counsel of record, the docket entries, and tickler due dates for briefs and responses. There are no fees for viewing, printing, or downloading non-confidential documents from the docket.
- (b) Documents in confidential cases, such as adoptions, juvenile matters, attorney and judicial discipline, or any other case document ordered sealed by the Court, shall be electronically filed unless otherwise ordered by the Court. Access to confidential cases is limited to counsel of record only and shall not be available to the public.
- (c) All e-filed documents, including attachments, must be converted, or scanned as a .pdf by the user prior to electronically filing with the Court. Any document uploaded as a .docx will result in a system conversion error and the rejection of the e-filed document(s) by the clerk's office.
- (d) Registered users may submit documents electronically 24 hours a day, seven days a week. Documents received after 11:59:59 p.m., Mountain Standard Time (MST), will be considered submitted on the next business day. Documents submitted on weekends or recognized holidays will be considered submitted on the next business day.
- (e) In case of technical problems, users should contact the Clerk's office at (307) 777-7316 during regular business hours, 8:00 a.m. to 5:00 p.m., Monday through Friday.

# 4. Eligibility.

- (a) Only attorneys who are active members in good standing with the Wyoming State Bar are eligible to register to be an e-filer with the CTEF system. It is the responsibility of CTEF users to ensure their staff is adequately trained and familiar with the Court's docket. An "E-filing Notice to Counsel" will be sent to attorneys that are not registered e-filers in CTEF. No paper service will be made by the Supreme Court on attorneys failing to comply.
- (b) Attorneys who are admitted *pro hac vice* for a particular case are not eligible to become an e-filer with CTEF. Although documents should be signed by attorneys admitted *pro hac vice* and by local counsel, all electronic filings must be made by local counsel.
- (c) Pro se litigants are not eligible to become an e-filer with CTEF at this time, although the Court may develop training and rules regarding pro se users in the future.

(d) Law students are not eligible to become an e-filer with CTEF.

# 5. Registration, Change of Contact Information, Usernames and Passwords.

- (a) The registration link is available on the Supreme Court's website at this address: https://www.courts.state.wy.us/supreme-court/clerk-of-court-efiling/. Changes to mailing address, telephone number, or email address must be updated through the E-file Account screen. It is the user's responsibility to maintain a current email address to receive filing notification and service of documents. Updating the E-File Account does not relieve an attorney from complying with Article 1, Section 2, of the Bylaws of the Wyoming State Bar
- (b) If any attorney is suspended or disbarred from practice, his or her CTEF account access will be suspended. If reinstated, the attorney must contact the Supreme Court Clerk's office to reactivate their account.
- (c) Each attorney who completes training shall register one username and password. The attorney should not permit the password to be used by anyone other than authorized support staff. The attorney is responsible for all documents filed under his or her login, whether the attorney does the physical act of filing. Users agree to protect the security of their passwords and, if they learn that their password has been compromised, to change the password immediately using the CTEF utilities menu.
- (d) After completing training and registering with the system, the registrant may file electronically. Registration as a user constitutes consent to electronic service of all documents as provided in this Manual, and the Wyoming Rules of Appellate Procedure. All attorneys who intend to participate in an appeal should become e-file users with CTEF as soon as is reasonably possible upon the docketing of an appeal to ensure service of documents.
- (e) An e-file user can register alternate email addresses to receive system messages. After logging on in "Account" tab, select "Add another email address."

# 6. Conventional Filing of Documents.

- (a) Until otherwise ordered, the Court will continue to require conventional filing in addition to electronic filing of all documents. See Rule 13.
- (b) All petitions for review pursuant to W.R.A.P. 13, or any other original proceeding, shall be filed by conventional means only. All responses and further briefing, if granted, shall be electronically filed.
- (c) Documents filed in the trial courts pursuant to the W.R.A.P., including the notice of appeal pursuant to Rule 2.01(a) and 2.07(b) and (c), designations of record pursuant to Rule 3.05(b), (c), (d) and (e), shall be served on the Wyoming Supreme Court in paper form only.

(d) Documents filed in the trial courts pursuant to the W.R.A.P., including an order certifying questions pursuant to Rule 11, and orders of certification for review of administrative action pursuant to Rule 12.09(b), shall be filed in the Wyoming Supreme Court in paper form only.

# 7. Titles of Documents.

- (a) Only document titles found in the Docket Entry list may be used. The Docket Entry categories and titles can be found in Appendix A to this Manual. A comment box is available in CTEF for any information necessary to clarify an entry that does not match a listed docket entry.
- (b) Multiple document types should not be uploaded into a single docket entry. For example, do not combine a motion for extension of time to file a brief with any other motion, or a response to a motion. Requests for extensions of time are considered separately, and a due date may pass while any substantive motion is pending for court conference. Attachments to briefs should be uploaded after uploading the brief by selecting "Add another document" within the e-filing entry.

# 8. Filing Errors.

- (a) CTEF will not permit the filer to make changes to a document or docket entry after the transaction has been submitted to the court. E-filers should verify the document they are intending to file matches the one that has been uploaded, that it has been filed under the correct case number and that it does not contain information that should have been redacted or filed under seal. The Clerk's office should immediately be notified of any errors by telephone at (307) 777-7316. If the filing has not been accepted by the Clerk, the document can be rejected, and the attorney can refile after the document has been corrected. Should an error be discovered after the document has been accepted, the e-filer may file an errata, or a motion for permission to withdraw or amend the document.
- (b) When documents filed do not comply with the rules (such as the Rules Governing Access to Case Records), the document will be removed from the public docket and counsel will immediately be notified by email and instructed to re-file the pleading within a specified amount of time. If the pleading is not correctly re-filed within the required time, it shall not be considered timely filed.

# 9. Rules of Service.

(a) A notice of electronic filing (NEF) that is automatically generated by the Court's electronic filing system constitutes service of the filed document on CTEF users (except for sealed filings, or other filings required to be filed by conventional means). Every registered email address listed by the attorney will receive system generated notices. Parties and/or attorneys who are not CTEF users must be served conventionally with a copy of any document filed electronically in accordance with W.R.C.P., Rule 5, W.R.Cr.P., Rule 49, and

the Wyoming Rules of Appellate Procedure. A pro se party who files by conventional means must serve paper copies on all parties to the case.

- (b) A certificate of service is required when a user electronically files a document. The certificate must state the method of service (through the CTEF or by manual service, such as mail, hand delivery, etc.) to each party and should be signed as "s/name" by the attorney or an authorized agent who made the service. The NEF generated by the Court's electronic filing system does not replace the certificate of service required by W.R.A.P.
- (c) In addition to the service requirements of the Wyoming Court Rules, users must certify that all required privacy redactions have been made and, except for those redactions, every e-filed document is an exact copy of the written document filed with the Clerk, and that the document has been scanned and is free of viruses.

# 10. Hyperlinks.

Hyperlinks to legal authority are allowed in documents filed with the Court only for the purpose of providing a convenient mechanism for accessing material cited in the document. The judiciary does not exercise any responsibility over the content or its destination. The functioning of a hyperlink reference is not guaranteed. The hyperlink is extraneous to any filed document and is not part of the Court's record. To preserve the Court record, attorneys wishing to insert hyperlinks in court filings shall continue to use the traditional citation method for the cited authority, in addition to the hyperlink.

# 11. Redaction.

- (a) Parties must comply with current redaction rules for all documents filed with the Court, including exhibits, whether filed electronically or on paper.
- (b) The responsibility for redacting personal identifiers rests solely with counsel and the parties. The Clerk will not review each pleading for compliance with this rule but may reject the pleading if it appears obvious the redactions have not been made. See, Paragraph 9 Rules of Service.

# 12. Signatures.

- (a) Within three business days of electronic filing, the signed paper original accepted for filing, plus six copies, are required to be filed with the Supreme Court Clerk. Do not place documents in the mail or deliver copies prior to acceptance by the Clerk.
  - (b) Electronic Filing Attorney Signature.
- (1) The username and password required to submit documents to the CTEF serve as the user's signature on all electronic documents filed with the Court. Electronically filed documents must include a signature block and the name of the e-filer preceded by "s/"

and typed in the space where the signature would otherwise appear. See the following example for the proper format:

s/Lawyer Name
Lawyer Name
Wyoming State Bar No: 1-2345
Attorney for (appellant, appellee, etc.)
The Law Firm
111 South Street or P.O. Box 111
Cheyenne, WY 82001
Telephone (xxx) xxx-xxxx
Email Address

# (c) Non-Filing Attorney Signature.

(1) Documents containing the signatures of non-filing attorneys i.e., attorneys admitted pro hac vice, law students, or attorneys who are registered, but are not the filers of the documents, such as on a stipulated document, are to be filed electronically with the signature represented by an "s/" and the name typed in the space where a signature would otherwise appear, or as a scanned image. Such approval shall be indicated as follows:

s/Appellant's Attorney s/Filing Attorney
(Signed by filing attorney with permission of Appellant's Attorney)

(2) The filing attorney may scan the signature page and file it as an attachment to the pleading or other paper. The signed paper original shall be attached to the original document sent to the Court.

# (d) Non-User Signature.

- (1) Documents such as affidavits and waivers that are required to be signed by persons who are not counsel of record should be submitted in electronic format with a scanned image of the signature page as an attachment, and the original filed with the Court.
- (2) A document containing the signature of a defendant in a criminal case must be electronically filed as a scanned document in .pdf, and the original filed with the Court.

# (e) Multiple Signatures.

(1) The filer of any document requiring more than one signature, such as a stipulation, must certify that the content of the document is acceptable to all persons required to sign the document by either physical or digital signatures or authorization for the electronic signatures of all parties on the document. The signed paper original shall be attached to the original document sent to the Court.

# 13. Briefs, Motions, Exhibits, Attachments and Appendices.

- (a) Users must furnish the full contents of briefs or motions in .pdf format. Scanned attachments to a document are uploaded into CTEF by selecting "Add Another Document."
- (b) Pursuant to W.R.A.P., 7.01(k) and 7.02, all briefs shall have attached a scanned copy of the trial court's judgment, sentence, or final order and decision letter (if applicable).

# (c) Documents should not exceed 100 megabytes.

# 14. Proposed Documents.

- (a) Amicus Curiae. Pursuant to W.R.A.P., 7.12, a brief of *amicus curiae* may be filed only by leave of court granted on motion or the request of the appellate court. The motion and proposed brief must be filed by conventional means only since counsel of record are the only ones allowed to file electronically. If the motion is granted, counsel will be added as counsel of record, and the brief will be filed and added to the docket page.
- (b) Guardian *ad litem*. W.R.A.P., 7.13(b) requires that a guardian *ad litem* who does not support any party may submit a brief only by leave of the Court granted on motion made on or before the time specified in Rule 7.12(e). All provisions of Rule 7.12 apply to a guardian *ad litem* who does not support any party.
- (c) Interveners. No party may intervene in a case without permission of the Court. If the motion to intervene is granted, the intervening party will be added to the case caption, and counsel included as counsel of record.

# 15. Technical Failures.

Known court system outages will be posted on the Supreme Court's web page, if possible. Problems with the filer's internet service provider, or hardware or software, will not generally excuse an untimely filing. A registered user whose filing is untimely due to a technical failure may seek relief from the Court.

# 16. Modifications and Amendments of Electronic Filing Procedures.

The Wyoming Supreme Court may modify or amend this Electronic Filing Administrative Policies and Procedures Manual at any time without prior notice. Modifications and amendments to the Manual will be posted on the Court's website at <a href="http://www.courts.state.wy.us">http://www.courts.state.wy.us</a>.

#### APPENDIX A

The following titles of documents may be filed electronically.

# **Docket Entry Types and Subtypes:**

# **Affidavits**

Costs and expenses Attorney's fees and costs Other

#### **Briefs**

Anders
Appellant
Appellant reply
Appellee
In Support of Petition for Rehearing
Joinder
Petitioner
Petitioner reply
Respondent

The following briefs may be filed only after having filed a motion for permission to file and having been granted permission by order of the court, or upon an order of the court requesting these briefs:

Amicus Curiae
Guardian ad litem \* (if GAL does not support any party)
Intervenor
Replacement
Supplemental appellant
Supplemental appellant reply
Supplemental appellee
Supplemental petitioner
Supplemental respondent

# Correspondence

Incoming

# Memorandum

In support of motion In support of petition

#### Motions

Admission pro hac vice

Accept late filing

Appointment of GAL

Brief – exceed page limit

Clarification

Consolidate

Convert to petition

Correct a document

Dismiss – involuntary

Dismiss – stipulated

Dismiss – voluntary

Dismiss – voluntary with waiver

Dismiss party

Exclude from Electronic Filing

Extension of time – brief

Extension of time – file response/objection

Extension of time – other

Fees and costs

File an amended pleading

Intervene

Oral argument – exceed time limit

Oral argument – leave to participate

Oral argument – reassignment from brief only docket

Oral argument – vacate

Other

Reconsideration

Reinstatement

Remand to district court

Seal

Stay – bankruptcy

Stay – other

Stay briefing – opposed

Stay briefing – unopposed

Strike

Substitution of party

Summary affirmance

Supplement the record

Supplemental briefing

Withdrawal of counsel

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Withdraw a document

Withdrawal of record

# Notice – Incoming

Additional authority

Bankruptcy proceeding

Change of address

Confession of error

Consent and approval

Consent of client

District Court pleading

Entry of appearance

Errata

Other

Request to place on brief only docket

Statement of costs

Status report – bankruptcy

Status report – other

Suggestion of death

# Petition

Clarification

Rehearing

Reinstatement

Requested by Supreme Court order

# Response/Objection

Costs

Extension

Motion

Other

Order to show cause

Petition for review

# Service

Certificate of service

Amended certificate of service

#### APPENDIX B

# TERMS AND CONDITIONS FOR USERS OF WYOMING SUPREME COURT CTEF

I certify:

- 1. Required redactions pursuant to the Rules Governing Redactions From Court Records, have been made to every document submitted for electronic filing,
- 2. That any document submitted for electronic filing has been scanned for viruses, and is virus free;
- 3. I understand that technical failures caused by my own hardware, software, or phone lines will not generally excuse an untimely filing; and
- 4. No support will be provided for any filing made in CTEF between 5:00 p.m. and 8:00 a.m. weekdays, weekends, or holidays.